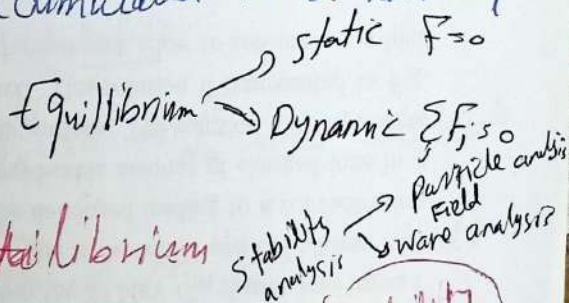


# Concept of Instability Ibrahem El Kamash

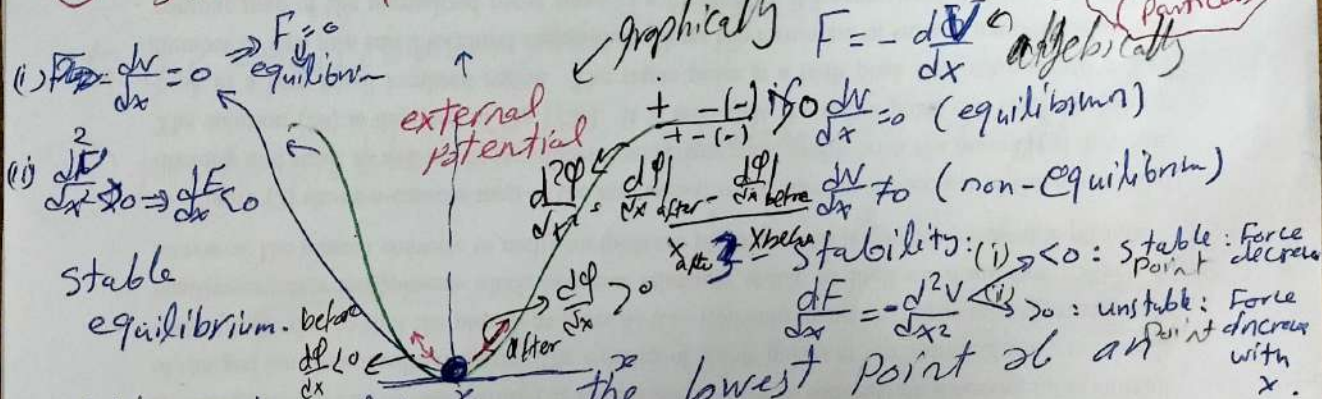
- **Instability**: a general way of redistributing free energy which has accumulated in a ~~non eq~~ static  $F=0$

a non-equilibrium state.

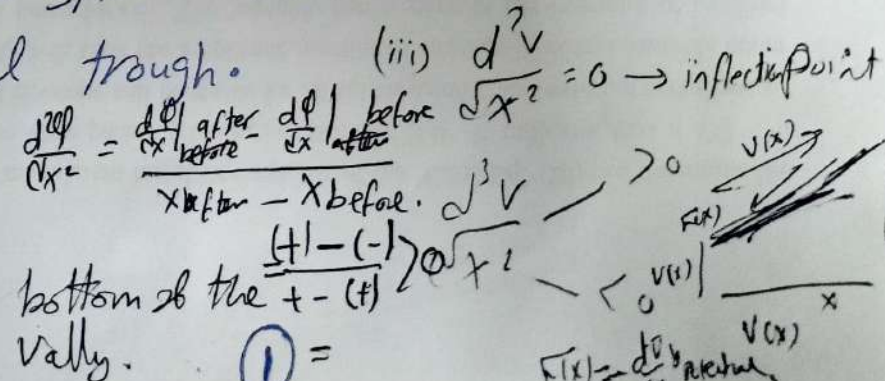
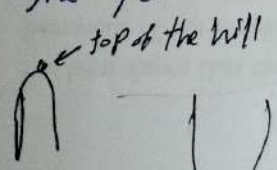


## Types of mechanical equilibrium

(i) **stable equilibrium**: bottom of the trough Potential function Equilibrium State



- the sphere lie on the lowest point of an infinitely high potential trough. In this position the sphere can only perform oscillations around its equilibrium position, which will damp out due to friction until the sphere comes to rest at the bottom of the potential trough.



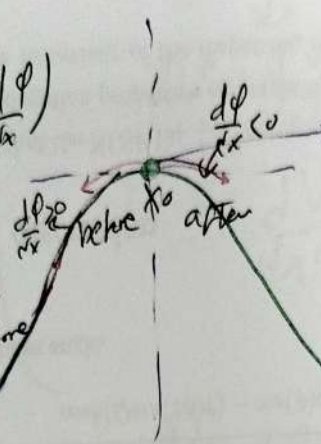


Linear instability: top of a potential hill.

$$\left. \frac{d^2\phi}{dx^2} \right|_{x=x_0} = \frac{d}{dx} \left( \frac{d\phi}{dx} \right)$$

$$= \frac{\left( \frac{d\phi}{dx} \right)_{x_{\text{after}}} - \left( \frac{d\phi}{dx} \right)_{x_{\text{before}}}}{x_{\text{after}} - x_{\text{before}}}$$

$$= \frac{(-) - (+)}{(+)-(+)} < 0$$



(1) Equilibrium

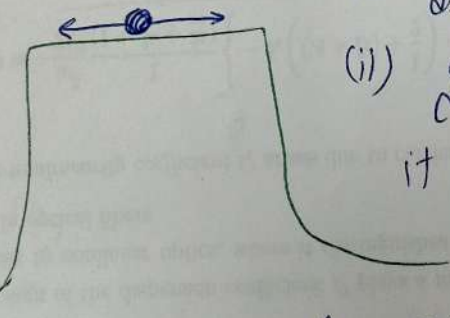
$$\frac{d\phi}{dx} = 0 \Rightarrow F = 0 \text{ equilibrium}$$

(ii) Stability:

$$\frac{d^2\phi}{dx^2} < 0 \Rightarrow \frac{dF}{dx} > 0 \Rightarrow \text{unstable.}$$

- The slightest linear perturbation of its position, will let it roll down the hill and will not come back to the equilibrium position again.

(iii) Meta stable state: a plateau on top of a hill:



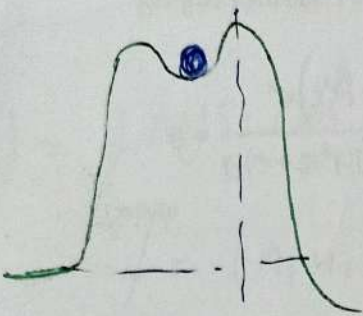
(i)  $\frac{d\phi}{dx} = 0 \Rightarrow F = 0$

(ii)  $\frac{d^2\phi}{dx^2} = 0 \Rightarrow \frac{dF}{dx} = 0$

it has many equilibrium positions.

- the sphere can wander around until it reaches the crest and rolls down.

## nonlinear instability:



(i)  $\frac{dV}{dx} = 0 \rightarrow F = 0$  equilibrium.

(ii)  $\frac{d^2V}{dx^2} > 0 \Rightarrow \frac{dF}{dx} < 0$  at  $x < x_c$  stable

$\frac{d^2V}{dx^2} < 0 \Rightarrow \frac{dF}{dx} > 0$  at  $x > x_c$  unstable.

- The sphere is stable against small-amplitude perturbations but becomes unstable for large amplitudes.

### \* Analogy with plasma:

- potential  $\longrightarrow$  Free energy system
- sphere  $\longrightarrow$  Wave or mode.

### \* Instability:

(i) Large scale macroinstability:

changes the spatial gradient and inhomogeneities.

(ii) Small-scale microinstability:

changes the local distribution function.



# How we can study linear instability in plasma?

## [Stability analysis for waves (Fields)]

1- physical quantities. "Linear analysis"

$$f = (n, u, \phi, A, P, E, B, \dots)$$

2- Equilibrium state (fixed points):

$$f_0 = (n_0, u_0, \phi_0, A_0, P_0, E_0, B_0, \dots)$$

3- Consider the perturbation on the wavefunction:

$$f = f_0 + \epsilon \tilde{f} \quad ; \quad \tilde{f} \ll f_0 \text{ (linear)}$$

4- Consider the perturbation in the form of <sup>plane</sup> wave function

$$\tilde{f} = \tilde{f}_0 e^{i(kx - \omega t)}$$

5- We don't know  $\omega$  &  $k$  of the wave.

So, we need a determinant equation of  $\omega$  &  $k$ .

which is the dispersion relation

$$\omega = \omega(k; \text{plasma parameters})$$

← medium.

# Classification of $\omega$ and the perturbed amplitude $\tilde{f}$ :

$$\tilde{f} = \tilde{f}_0 e^{i(kx - \omega t)}$$

↳ perturbed amplitude

Let:

$$\omega = \omega_R + i \omega_I$$

$$\tilde{f} = \tilde{f}_0 e^{\omega_I t} e^{i(kx - \omega_R t)}$$

$$\tilde{f} = \tilde{f}_0 e^{i(kx - \omega_R t)}$$

$\tilde{f}$  = time amplitude \* phasor  
dependent

(i)  $\omega = \omega_R > 0$  &  $\omega_I = 0 \rightarrow$  oscillatory motion to right.

$\tilde{f} = \tilde{f}_0 e^{i(kx - \omega t)}$

- amplitude  $\rightarrow$  Const.
- oscillatory motion to right.
- Propagating to right.

(ii)  $\omega = \omega_R < 0$  &  $\omega_I = 0 \rightarrow$  oscillatory motion to left

$\tilde{f} = \tilde{f}_0 e^{i(kx + \omega t)}$

- constant amplitude ( $\omega_R \neq 0$ )
- oscillatory motion to left
- propagating to left ( $k > 0$ )

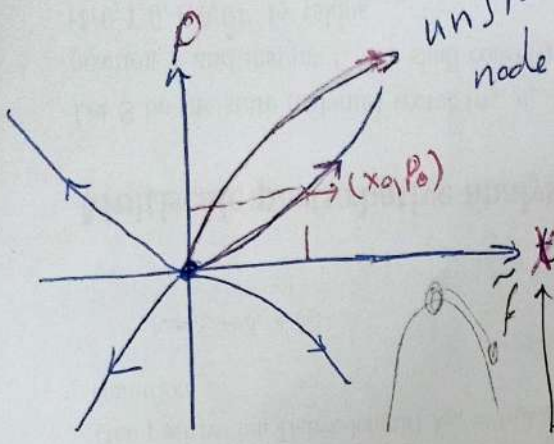


-  $\omega = \omega_I > 0$ ,  $\omega_R = 0 \rightarrow$  growing non-oscillatory <sup>momentum</sup> motion.

$$\tilde{f} = \tilde{f}_0 e^{\omega_I t} e^{i k x}$$

standing wave

- amplitude increases exponentially with time.
- No oscillation ( $\omega_R = 0$ ) in time
- No propagation ( $k \neq 0$ ) in space ( $k \neq 0$ )



unstable node

(FHO)

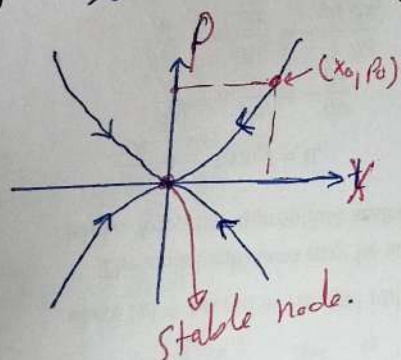
- $\omega_I$ : growth rate.
- $\tau$ : growth time.

(IV)  $\omega = \omega_I < 0$ ;  $\omega_R = 0 \rightarrow$  damping non-oscillatory <sup>momentum</sup> motion.

$$\tilde{f} = \tilde{f}_0 e^{-\omega_I t} e^{i k x}$$

standing wave

- amplitude decreases exponentially with time.
- No oscillation ( $\omega_R = 0$ ) in time
- No propagation ( $k \neq 0$ ) in space ( $k \neq 0$ )



stable node.

(DHO)

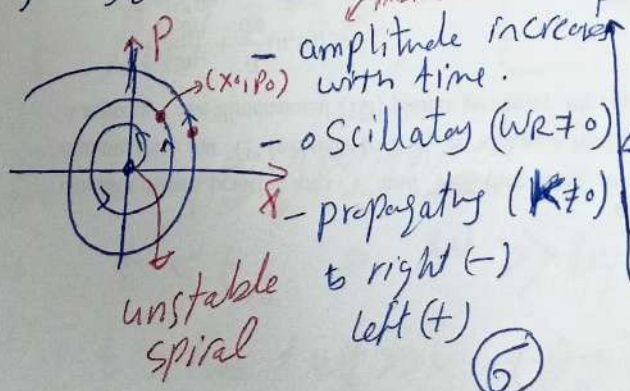
- $\omega_I$ : damping rate.
- $\tau$ : damping time.

(V)  $\omega = \pm \omega_R \pm i \omega_I$  &  $\omega_I > 0$   $k \neq \omega_R \neq 0$  Propagating

$$\tilde{f} = \tilde{f}_0 e^{\omega_I t} e^{i(kx \mp \omega_R t)}$$

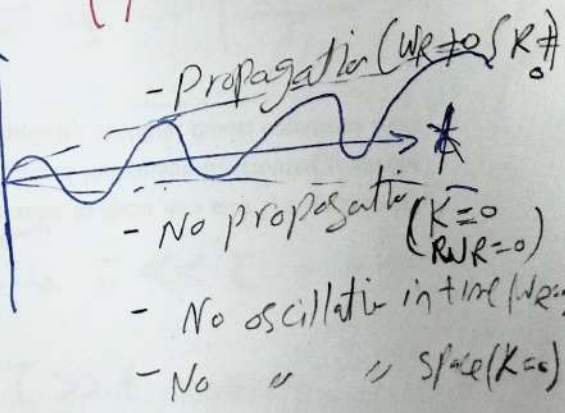
momentum

(FHM)



unstable spiral

- amplitude increases with time.
- oscillatory ( $\omega_R \neq 0$ )
- propagating ( $k \neq 0$ ) to right (-) left (+)

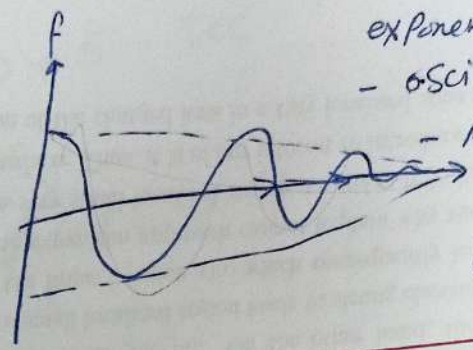
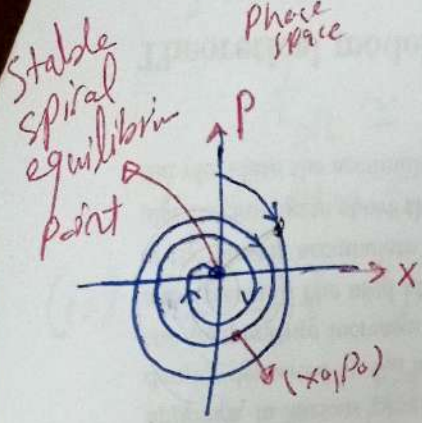


- Propagation ( $\omega_R \neq 0$ ) ( $k \neq 0$ )
- No propagation ( $k = 0$ ) ( $\omega_R = 0$ )
- No oscillation in time ( $\omega_I = 0$ )
- No " " in space ( $k = 0$ )



$$\omega = \omega_R + i\omega_I ; \omega_I < 0$$

$$\tilde{f} = \tilde{f}_0 e^{-\omega_I t} e^{i(kx - \omega_R t)} \quad (\text{"DHM"})$$



- amplitude decrease exponentially with time.
- oscillatory ( $\omega_R \neq 0$ )
- propagating ( $k \neq 0$ )
- (-) left
- (+) Right.

\* Breakdown of linear analysis:

"Instability saturates at a certain amplitude due to the effect of the nonlinear terms, instead of growing indefinitely as suggested by linear theory".

$$\tilde{f} = \tilde{f}_0 e^{-\omega_I t} e^{i(kx - \omega_R t)}$$

$$\tilde{f} = \hat{f}(t) e^{i(kx - \omega_R t)}$$

$$\hat{f}(t) = \tilde{f}_0 e^{\omega_I t}$$

- The condition

$$\frac{\hat{f}(t)}{\tilde{f}_0} \leq 1 \quad \text{if } t = t_{NL} \rightarrow \hat{f}(t) = \tilde{f}_0$$

$$\ln\left(\frac{\tilde{f}_0(t)}{\hat{f}(t)}\right) = \omega_I t_{NL} \rightarrow t_{NL} \approx \frac{-1}{\omega_I} \ln\left(\frac{\tilde{f}_0(t)}{\hat{f}(t)}\right)$$

for linear approximation  
time scale of wave

- (i)  $t < t_{NL}$  &  $\omega \gg \omega_I \rightarrow t \ll \tau \rightarrow$  linear  $\tilde{f} \ll \tilde{f}_0$
- (ii)  $t > t_{NL}$  &  $\omega \gg \omega \rightarrow \tau \gg t \rightarrow$  Nonlinear analysis  $\hat{f} \sim \tilde{f}_0$

npk :

(i)  $f_{00} = 1$  ,  $\hat{f}(t) = 0.9$

$$\omega_I = 2 \text{ sec}^{-1}$$

$$\therefore t_{NL} \approx 0.05 \text{ sec.}$$

$$t_{w} \leq 0.05 \text{ sec}$$

(ii)  $f_0 = 1$  ;  $\hat{f}(t) = 0.9$

$$\omega_I = 10$$

$$t_{NL} \approx 0.01 \text{ sec.}$$

$$t_{w} \leq 0.01$$

(iii)  $f_0 = 1$  ;  $\hat{f}(t) = 0.1$

$$\omega_I = 2$$

$$t_{NL} \approx 1.15 \text{ sec.}$$

$$t_{w} \leq 1.15 \text{ sec}$$

(iv)  $f_0 = 1$  ;  $\hat{f}(t) = 0.1$

$$\omega_I = 10$$

$$t_{NL} \approx 0.2 \text{ sec.}$$

$$t_{w} \leq 0.2 \text{ sec.}$$

wave

So,



energy stored in a wave <sup>electrostatic</sup>

$$W_w(\omega, k) = \frac{\epsilon_0}{2} \langle |E(\omega, k)|^2 \rangle \frac{\partial}{\partial \omega} [ \omega E(\omega, k) ]$$

Wave energy density =  $W_E \frac{\partial}{\partial \omega} [ \omega E(\omega, k) ]$

$$\frac{W_w}{W_E} = \frac{\partial}{\partial \omega} [ \omega E(\omega, k) ] \approx \omega \frac{\partial E}{\partial \omega}$$

electrostatic energy density

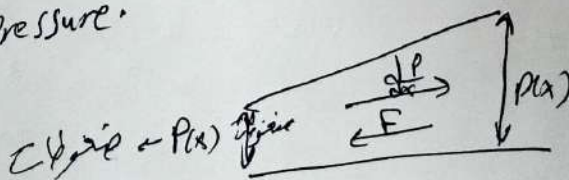
Stability analysis

Particle

oscillation analysis

$$F = - \frac{d\phi}{dx} \leftarrow \text{Potential}$$

$$F = - \frac{dP}{dx} \leftarrow \text{Pressure}$$



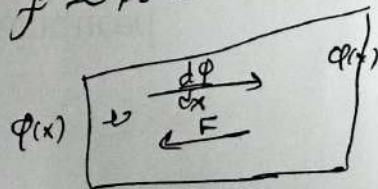
$$: \frac{dP}{dx} \rightarrow > 0$$

$$F \leftarrow \textcircled{9} < 0$$

Fields

Wave analysis

$$f \sim \text{hole } e^{i(kx - \omega t)}$$



scalar  $\phi(x)$  : scalar

$$\frac{d\phi}{dx} \rightarrow > 0$$

$$F \leftarrow < 0$$

# Stability analysis:

Particle

Field

analysis

Wave analysis

CM

Advanced  
Theory

Plasma

GR

mechanical

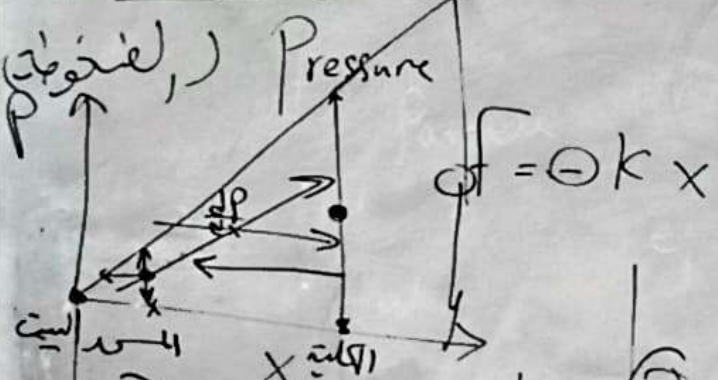
Basic  
Theory





\* Particle analysis

$\vec{\nabla} P$ , scalar

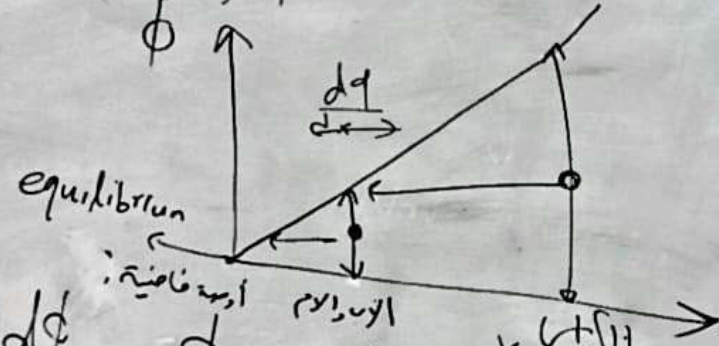


$F = -kx$

Scalar  $F = -\frac{dP}{dx}$

equilibrium  $\frac{dP}{dx} > 0 \rightarrow$   
 $F \leftarrow$

(القياس) Potential  $\phi$



$F = -\frac{d\phi}{dx}$

Scalar

restoring force

$\frac{d\phi}{dx} > 0 : \rightarrow$   
 $F \leftarrow$

# \* Particle anal...

(i) Stable equilibrium:



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(i) equilibrium:

Condition:  $F = - \left( \frac{d\phi}{dx} \right) = 0$

(ii)  $\frac{d^2\phi}{dx^2} > 0$ : Stable equilibrium.

equilibrium

Stable

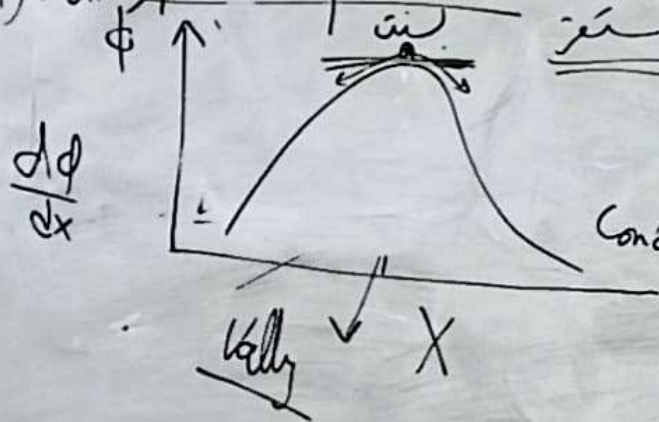
Unstable

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# \* Particle anal.

(ii) : unstable equilibrium:



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(i) equilibrium:

Condition:

$$F = - \left( \frac{d\phi}{dx} \right) = 0$$

(ii)  $\frac{d\phi}{dx} = 0$

Condition equilibrium

(iii)  $\frac{d^2\phi}{dx^2} < 0$  : un-stable equilibrium:

Stable

Unstable

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1.

\* Field analysis

\* Amplitude

mod

vtc

Constant  $\rightarrow$  stable  
damping  $\rightarrow$  stable equilibrium

growing  $\rightarrow$  unstable equilibrium

Field

Space

Perturbation

Wave

